

Docket No.: 4006-001

0320  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jinsaun CHEN

Serial No. 09/069,941

Filed: April 30, 1998

For: 3-IN-1 ANTI-NOISE RADIO SOUND-COLLECTION DEVICE



APPLICATIONS BRANCH

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Honorable Commissioner of  
Patents and Trademarks  
Washington D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application dated December 5, 1996, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration, Power of Attorney and Petition
2. Verified Statement Claiming Small Entity Status
2. Late Filing Fee Surcharge of \$65.00

Please find attached at check in the amount of \$65.00. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 03-1045 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

A handwritten signature in cursive script that reads "Donald C. Casey".

Donald C. Casey  
Registration No. 24,022

99 Canal Center Plaza  
Suite 300  
Alexandria, Virginia 22314  
(703) 548-2131 DCC:slv  
Date: July 20, 1998

Docket No.: 4006-001

PATENT

*H/B*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Jinsaun CHEN

Serial No. 09/069,947

Filed: April 30, 1998

For: 3-IN-1 ANTI-NOISE RADIO SOUND-COLLECTION DEVICE

**TRANSMITTAL OF VERIFIED STATEMENT  
CLAIMING SMALL ENTITY STATUS**

Honorable commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

Transmitted herewith for filing in the above-referenced application is(are) the following:

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS -  
SMALL BUSINESS

Respectfully submitted,

*Donald C. Casey*

Donald C. Casey  
Registration No. 24,022

99 Canal Center Plaza, Suite 300  
Alexandria, Virginia 22314  
(703) 548-2131 DCC:slv  
**Date:** July 20, 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/069,947	04/30/98	CHEN	J 4006001

DONALD C. CASEY  
99 CANAL CENTER PLAZA  
SUITE 300  
ALEXANDRIA VA 22314

0252/0518

NOT ASSIGNED

2743

DATE MAILED:

05/18/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

☐ missing.

☒ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity Statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_ including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

B. Nickerson  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202